## Moduli Spaces in Geometry

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What is a Moduli Space?

## What is Moduli Theory?

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- Theoretical definition: $\mathbb{P}_{k}^{2}=\left(k^{3} \backslash 0\right) / k^{*}$.


## 2. Projective Plane: Picture

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Over $\mathbb{R}$, the projective plane $\mathbb{P}^{2}$ is "locally-euclidean".

$$
\begin{gathered}
y=1 \quad O[x, y, 0]="\left[x, y, \frac{1}{\infty}\right]^{\prime \prime} \\
, \quad\left[x, y, \frac{1}{2}\right]=[2 x, 2 y, 1]
\end{gathered}
$$

In essence:

$$
\mathbb{P}_{\mathbb{R}}^{2}=\mathbb{R}^{2} \cup\{\text { infinity points }\}
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- Fully proven in 1958-1965 by Jean-Pierre Serre in Algèbre locale et multiplicités.


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- $C$ is a degenerate conic if and only if $\operatorname{det} A=0$. In this case, $C$ is a union of lines.


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## Moduli Space:

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## Main Examples

1. Circles in $\mathbb{R}^{2}$ centered at the origin $-\mathcal{M}_{\text {circ }}$
2. Planes in $k^{3}$ centered at origin - Projective plane $\mathbb{P}^{2}$
3. Conics in $\mathbb{P}^{2}-\mathcal{M}_{\text {conics }}$

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What does the geometry of a moduli space tell us about families in moduli problem?

## Topics in Moduli

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1. Dimension
2. Compact Moduli
3. Deformation Theory

## 1. Dimension

The dimension of a moduli space $\mathcal{M}$ is equal to the degrees of freedom of the moduli problem.

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- The dimension of $\mathcal{M}$ is the number of local coordinates.


## 1. Dimension-Coordinates

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\operatorname{dim} \mathbb{P}^{2}=2
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Coordinates are $[x, y, z]$, modulo scaling.

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\operatorname{dim} \mathcal{M}_{\text {conics }}=5 .
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Coordinates are $\left[a_{0}, \cdots, a_{5}\right]$, modulo scaling.

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Degenerate cases: $r=0$ and ${ }^{\prime \prime} r=\infty$ ".

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Check $\mathcal{M}_{\text {lines }}=\mathbb{P}^{5} \backslash \mathcal{M}_{\text {conics }}$, $\operatorname{described}$ by $\operatorname{det} A=0$ in $\mathbb{P}^{5}$, is singular at the locus of double lines.

Formal Definition

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What we'll need: representability of functors.


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A functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is an assignment to each $X \in \mathcal{C}$ an object $F(X) \in \mathcal{D}$, and for any morphism $f: X \rightarrow Y$ in $\mathcal{C}$ a morphism $F(f): F(X) \rightarrow F(Y)$ in $\mathcal{D}$ that respects composition:

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A natural transformation $\eta: F \rightarrow G$ between two functors $F, G: \mathcal{C} \rightarrow \mathcal{D}$ is an assignment of morphisms: for $X \in \mathcal{C}$,

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\eta_{X}: F(X) \rightarrow G(X)
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such that for each $f: X \rightarrow Y$ in $\mathcal{C}$ the following diagram commutes:

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A natural transformation $\eta: F \rightarrow G$ is a natural isomorphism if there exists a natural transformation $\mu: G \rightarrow F$ such that $\mu \circ \eta=1_{F}$ and $\eta \circ \mu=1_{G}$.

## Representable Functors

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A functor $F: \mathcal{C}^{o p} \rightarrow$ Sets is representable by $X \in \mathcal{C}$ if there exists a natural isomorphism $\operatorname{Hom}_{\mathcal{C}}(-, X) \rightarrow F$.

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## Recap

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- Categories are a collection of objects and morphisms.
- Functors relate categories $F: \mathcal{C} \rightarrow \mathcal{D}$.
- Natural transformations relate functors $\eta: F \rightarrow G$.
- Representable functors are (up to natural isomorphism) of the form $\operatorname{Hom}_{\mathcal{C}}(-, \mathcal{M})$.


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Not the only (or even best) way to study moduli.

## Representable Moduli Functors

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Problem: Describe an "interesting" moduli functor for concentric circles.

## Examples: Projective Plane $\mathbb{P}_{k}^{2}$

Generalize to integral finite-type $k$-algebras $A$ :

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Moduli Problem: $F: V a r_{k}^{o p} \rightarrow$ Sets with $k$-variety $V$ with coordinate ring $A$,

$$
F(A)=\left\{\left(s_{0}, s_{1}, s_{2}\right) \in\left(A^{\times}\right)^{3} \mid A^{3} \rightarrow A \text { with } e_{i} \mapsto s_{i} \text { is surjective }\right\} / A^{\times} .
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Representability: An element of $F(A)$ corresponds to a morphism $V \rightarrow \mathbb{P}_{k}^{2}$.

## Examples: Conics in $\mathbb{P}^{2}$

Moduli Problem: $\mathrm{H}_{2}:$ Var $_{k}^{o p} \rightarrow$ Sets with variety $X$ with coordinate ring $A, H_{2}(A)=$
$\left\{V \subset \mathbb{P}_{A}^{2} \mid V\right.$ described by degree 2 homogeneous $\left.f \in A[x, y, z]\right\}$.

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Moduli Space: $H_{2}$ represented by $\mathbb{P}_{k}^{5}$.
The functor $\mathrm{H}_{2}$ is usually called the Hilbert functor of degree 2, and denoted

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H_{2}=H i l b_{\mathbb{P}_{k}^{2}}^{\phi_{2}} .
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## Examples: Other degree $d$ curves in $\mathbb{P}^{2}$

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Moduli Problem: Hilb $_{\mathbb{P}_{2}}^{\phi_{d}}: V a r_{k}^{o p} \rightarrow$ Sets with $k$-variety $X$ with coordinate ring $A$, then $\operatorname{Hilb}_{\mathbb{P}_{k}^{2}}(A)=$

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Theorem (Grothendieck, 1961)
The hilbert functor $\mathrm{Hilb}_{\mathbb{P}_{k}^{2}}^{\phi_{d}}$ is representable by $\mathbb{P}^{N}$, with

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- Wiggling a point of the moduli space amounts to deformation.

2. Rigorously, a moduli space is a representing object of a moduli-problem functor $F: \mathcal{C}^{o p} \rightarrow$ Sets

## Summary: Examples

1. $\mathcal{M}_{\text {circ }}$ - Analytic
2. $\mathbb{P}^{n}$ - Linear algebraic
3. Conics in $\mathbb{P}^{2}$ - Algebraic

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2. Is $\mathcal{M}$ smooth?
3. Is $\mathcal{M}$ compact?
4. Can we relate $\mathcal{M}$ with another moduli space?

## Questions?

