

Moduli Spaces in Geometry

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- 1. What is a Moduli Space?
- 2. Topics in Moduli
- 3. Formal Definition
- 4. Representable Moduli Functors

What is a Moduli Space?

What is Moduli Theory?

Moduli theory is the study of families of geometric objects.

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Informal Definition

A moduli problem is a certain class of algebro-geometric objects.

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• Theoretical definition: $\mathbb{P}_k^2 = (k^3 \setminus 0)/k^*$.

2. Projective Plane: Picture

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$$\mathbb{P}^2_{\mathbb{R}} = \mathbb{R}^2 \cup \{ \text{infinity points} \}$$

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What does the geometry of a moduli space tell us about families in moduli problem?

Topics in Moduli

- 1. Dimension
- 2. Compact Moduli
- 3. Deformation Theory

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Check $\mathcal{M}_{lines} = \mathbb{P}^5 \setminus \mathcal{M}_{conics}$, described by detA = 0 in \mathbb{P}^5 , is singular at the locus of double lines.

Formal Definition

Category Theory: Caution

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What we'll need: representability of functors.

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- 3. a binary operation

 \circ : $Hom_{\mathcal{C}}(Y, Z) \times Hom_{\mathcal{C}}(X, Y) \rightarrow Hom_{\mathcal{C}}(X, Z)$

called *composition* satisfying:

• Associativity:

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- 2. A class $Hom_{\mathcal{C}}$, whose elements we call "morphisms"
 - Each $f \in Hom_{\mathcal{C}}$ has a "source" $X \in \mathcal{C}$ and "target" $Y \in \mathcal{C}$.
 - The collection of morphisms from X to Y is denoted $Hom_{\mathcal{C}}(X, Y)$.
- 3. a binary operation

 $\circ: \operatorname{Hom}_{\operatorname{\mathcal{C}}}(Y,Z) imes \operatorname{Hom}_{\operatorname{\mathcal{C}}}(X,Y) \to \operatorname{Hom}_{\operatorname{\mathcal{C}}}(X,Z)$

called *composition* satisfying:

• Associativity: for $f: X \to Y$, $g: Y \to Z$, and $h: Z \to W$,

$$(h \circ g) \circ f = h \circ (g \circ f).$$

• Identity:
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A functor $F : \mathcal{C} \to \mathcal{D}$ is an assignment to each $X \in \mathcal{C}$ an object $F(X) \in \mathcal{D}$, and for any morphism $f : X \to Y$ in \mathcal{C} a morphism $F(f) : F(X) \to F(Y)$ in \mathcal{D} that respects composition:

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$$\mathcal{C} \xrightarrow{F} \mathcal{D}$$



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Common functors:

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Natural Transformation

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A natural transformation $\eta: F \to G$ between two functors $F, G: C \to D$ is an assignment of morphisms: for $X \in C$,

$$\eta_X: F(X) \to G(X)$$

such that for each $f : X \to Y$ in C the following diagram commutes:

$$\begin{array}{ccc} F(X) & \xrightarrow{\eta_X} & G(X) \\ F(f) & & & \downarrow G(f) \\ F(Y) & \xrightarrow{\eta_Y} & G(Y). \end{array}$$

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A natural transformation $\eta: F \to G$ is a *natural isomorphism* if there exists a natural transformation $\mu: G \to F$ such that $\mu \circ \eta = 1_F$ and $\eta \circ \mu = 1_G$.

Representable Functors

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A functor $F : \mathcal{C}^{op} \to Sets$ is representable by $X \in \mathcal{C}$ if there exists a natural isomorphism $Hom_{\mathcal{C}}(-, X) \to F$.

Recap

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- Functors relate categories $F : \mathcal{C} \to \mathcal{D}$.
- Natural transformations relate functors $\eta: F \to G$.
- Representable functors are (up to natural isomorphism) of the form $Hom_{\mathcal{C}}(-, \mathcal{M})$.

A (fine) moduli space is an object $\mathcal{M} \in \mathcal{C}$ that represents F. That is, there exists a natural isomorphism $Hom_{\mathcal{C}}(-, \mathcal{M}) \to F$.

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Not the only (or even best) way to study moduli.

Representable Moduli Functors

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Problem: Describe an "interesting" moduli functor for concentric circles.

Examples: Projective Plane \mathbb{P}^2_k

Generalize to integral finite-type k-algebras A:

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Moduli Problem: $F : Var_k^{op} \rightarrow Sets$ with *k*-variety *V* with coordinate ring *A*,

 $F(A) = \{(s_0, s_1, s_2) \in (A^{\times})^3 \mid A^3 \to A \text{ with } e_i \mapsto s_i \text{ is surjective}\}/A^{\times}.$

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Representability: An element of F(A) corresponds to a morphism $V \to \mathbb{P}^2_k$.

Moduli Problem: $H_2: Var_k^{op} \to Sets$ with variety X with coordinate ring A, $H_2(A) =$

 $\left\{ V \subset \mathbb{P}^2_A \mid V \text{ described by degree 2 homogeneous } f \in A[x, y, z] \right\}.$

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Moduli Space: H_2 represented by \mathbb{P}_k^5 .

The functor ${\it H}_2$ is usually called the Hilbert functor of degree 2, and denoted

$$H_2 = Hilb_{\mathbb{P}^2_k}^{\phi_2}.$$

Why stop at conics?

Moduli Problem: $Hilb_{\mathbb{P}^2_k}^{\phi_d}$: $Var_k^{op} \to Sets$ with *k*-variety *X* with coordinate ring *A*, then $Hilb_{\mathbb{P}^2_k}(A) =$

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 - Compact moduli is equivalent to existence of limits in the moduli problem.
 - Wiggling a point of the moduli space amounts to deformation.
- 2. Rigorously, a moduli space is a representing object of a moduli-problem functor $F : C^{op} \rightarrow Sets$

- 1. $\mathcal{M}_{\textit{circ}}$ Analytic
- 2. \mathbb{P}^n Linear algebraic
- 3. Conics in \mathbb{P}^2 Algebraic

Homework

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- 1. What is the dimension of \mathcal{M} ?
- 2. Is \mathcal{M} smooth?
- 3. Is ${\mathcal M}$ compact?
- 4. Can we relate ${\mathcal M}$ with another moduli space?

Questions?