



**CALIFORNIA STATE POLYTECHNIC UNIVERSITY, POMONA
POLICY NO: 1206**

**MINIMUM QUALIFICATIONS OF PRINCIPAL INVESTIGATOR(S)
FOR SPONSORED PROGRAMS**

EO 890, SECTION 3.3.1

Policy Statement: This policy is issued in accordance with Executive Order No. 890 and applies to all Sponsored Programs applied for, awarded to and/or administered by the campus or its auxiliaries.

In addition to the qualifications of a Principal Investigator (PI) as identified in Executive Order No. 890, Cal Poly Pomona recognizes an exception for individuals that are not employees of the University. Any individual who is officially affiliated with the university, e.g., individuals with emeritus status, visiting professors, visiting researchers, or faculty participants in the faculty early retirement program (FERP) who have exhausted their entitlements, may be eligible for PI status under the following provisions and conditions:

1. The department chair and dean must recommend principal investigator status of the individual and forward that recommendation to the Associate Vice President responsible for research activities for review and approval.
2. The chair and dean shall be required to assume the same responsibilities for any PI named under this limited exception as they would for a PI that was a faculty member reporting to them.
3. In those cases, in which the principal investigator is not an active full-time faculty or staff member, a co-investigator may be required who is a university employee, with responsibility for fiscal matters.
4. Should an incumbent Principal Investigator under this provision resign, become incapacitated, or fail or refuse to perform their duties adequately, the chair and dean, in consultation with the Associate Vice President responsible for research activities and the funding agency, as appropriate, shall agree upon a substitute PI and shall reassign those responsibilities.
5. Other exceptions may also be granted on a case-by-case basis, by the Provost on behalf of the President.